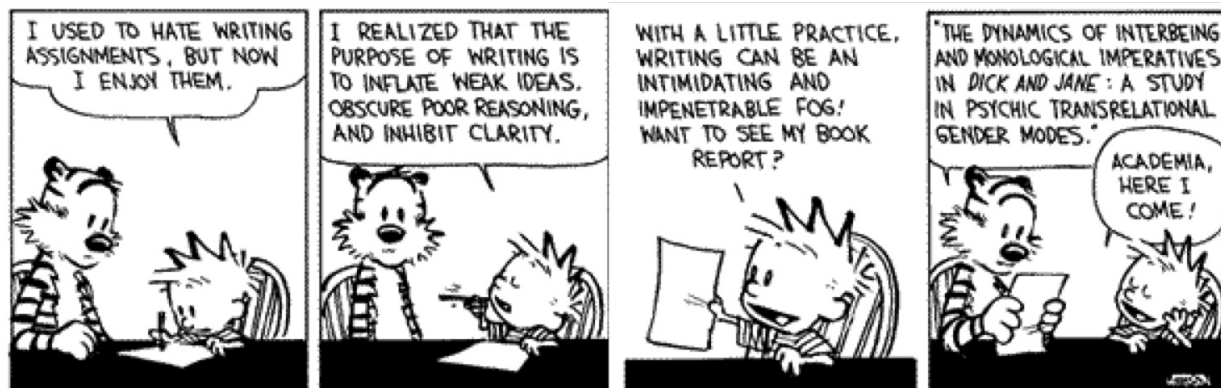




# Meeting 13: Denotations



## Announcements

- Homework 3 due next week Friday at 6:00pm

## Homework 2 Comments

- Time: 29.2 hours avg *! 3 credits → 12 hours*
- Difficulty: 5.4 avg

## Issues

- Length?
  - (Part 2 out Wed instead of Mon) *— increased HW2 #2 ⇒ can extend HW2 #2 at HW3 deadline 3/9*
- Misunderstanding about the independence of the parts. Prioritization of the parts (no point values)? *— clear handwriting is ok*
- LaTeX taking time
  - "The sheer amount of latex / OCaml code was the hardest part of the assignment."
- Not enough time earlier in the course to get familiar with OCaml
- "Doubts remain and keep pending and keep adding ... probably because the lectures do not cover a good percentage of what is asked in the homework assignments."

## Positives

- "What I liked about this hw is that it helped me get started with OCaml and the conversion of proofs into code. It also was a nice test to be able to extend the proofs of preservation and progress from hw01."
- "Before I mention the part I disliked, I want to preface it with the comment that I am thoroughly enjoying the course material, and that I am extremely appreciative of the amount of effort that goes into giving us the starting LaTeX file and OCaml testing setup."

## Questions

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① HW3

# Assignment #3: Language Design and Implementation

CSCI 5535 / ECEN 5533: Fundamentals of Programming Languages

Spring 2018: Due Friday, March 9, 2018

This homework has two parts. The first asks you to consider the relationship between a denotational formalization and an operational one. The second asks you to extend your language implementation in OCaml to further gain experience translating formalization to implementation.

## 1 Denotational Semantics: IMP

Recall the syntax chart for IMP:

Typ	$\tau ::=$	num	num	numbers
		bool	bool	booleans
Exp	$e ::=$	addr[ $a$ ]	$a$	addresses (or "assignables")
		num[ $n$ ]	$n$	numeral
		bool[ $b$ ]	$b$	boolean
		plus( $e_1; e_2$ )	$e_1 + e_2$	addition
		times( $e_1; e_2$ )	$e_1 * e_2$	multiplication
		eq( $e_1; e_2$ )	$e_1 == e_2$	equal
		le( $e_1; e_2$ )	$e_1 <= e_2$	less-than-or-equal
		not( $e_1$ )	$!e_1$	negation
		and( $e_1; e_2$ )	$e_1 \&\& e_2$	conjunction
		or( $e_1; e_2$ )	$e_1    e_2$	disjunction
Cmd	$c ::=$	set[ $a$ ]( $e$ )	$a := e$	assignment
		skip	skip	skip
		seq( $c_1; c_2$ )	$c_1; c_2$	sequencing
		if( $e; c_1; c_2$ )	if $e$ then $c_1$ else $c_2$	conditional
		while( $e; c_1$ )	while $e$ do $c_1$	looping
Addr	$a$			

As before, addresses  $a$  represent static memory store locations and are drawn from some unbounded set Addr and all memory locations only store numbers. A store  $\sigma$  is thus a mapping from addresses to numbers, written as follows:

Store  $\sigma ::= \cdot | \sigma, a \mapsto n$

Store  $\overset{set}{=} Addr \rightarrow \mathbb{Z}$

1

↑  
total mappings  
(total functions)

The semantics of IMP is as formalized in the previous assignment operationally. In this section, we will consider a denotational formalization.

The set of values Val are the disjoint union of numbers and booleans:

$$\text{Val } v ::= \text{num}[n] \mid \text{bool}[b].$$

1.1. (a) Formalize the dynamics of IMP as two denotational functions.

$\llbracket 3+4 \rrbracket$   
 $\llbracket a := a + 2 \rrbracket$

$$\begin{aligned} \llbracket \cdot \rrbracket &: \text{Exp} \rightarrow (\text{Store} \rightarrow \text{Val}) \\ \llbracket \cdot \rrbracket &: \text{Cmd} \rightarrow (\text{Store} \rightarrow \text{Store}) \end{aligned}$$

$\langle e, \sigma \rangle \Downarrow e'$   
 $\uparrow$   
 value  
 $\langle c, \sigma \rangle \Downarrow \sigma'$

(b) Prove that your denotational definitions coincide with your operational ones.

- i. State the lemma that your definitions for expressions coincide.
- ii. Prove the equivalence of your definitions for commands, that is,

$$(\sigma, \sigma') \in \llbracket c \rrbracket \text{ if and only if } \langle \sigma, c \rangle \Downarrow \sigma'.$$

Begin by copying your definition of  $\langle \sigma, c \rangle \Downarrow \sigma'$  from your previous homework submission.

$\langle c, \sigma \rangle \Downarrow \sigma'$

1.2. **Manual Program Verification.** Prove the following statement about the denotational semantics of IMP.

If  $\llbracket \text{while } e \text{ do } a := a + 2 \rrbracket \sigma = \sigma'$  such that  $\text{even}(\sigma(a))$ , then  $\text{even}(\sigma'(a))$

$e = a \leq 1000$

Unlike in the previous assignment, this time you should use your denotational semantics for the proof. *Hint:* your proof should proceed by mathematical induction.

## 2 Comparing Operational and Denotational Semantics

Regular expressions are commonly used as abstractions for string matching. Here is an abstract syntax for regular expressions:

$r ::= 'c'$	singleton – matches the character $c$
empty	skip – matches the empty string
$r_1 r_2$	concatenation – matches $r_1$ followed by $r_2$
$r_1 \mid r_2$	or – matches $r_1$ or $r_2$
$r^*$	Kleene star – matches 0 or more occurrences of $r$
$\cdot$	matches any single character
$[ 'c_1' - 'c_2' ]$	matches any character between $c_1$ and $c_2$ inclusive
$r^+$	matches 1 or more occurrences of $r$
$r?$	matches 0 or 1 occurrence of $r$

We will call the first five cases the *primary* forms of regular expressions. The last four cases can be defined in terms of the first five. We also give an abstract grammar for strings (modeled as lists of characters):

$s ::= \cdot$	empty string
$cs$	string with first character $c$ and other characters $s$

We write “bye” as shorthand for  $\text{bye}\cdot$ .

We introduce the following big-step operational semantics judgment for regular expression matching:

$$\boxed{\vdash r \text{ matches } s \text{ leaving } s'}$$

$$\langle r, s \rangle \Downarrow s'$$

The interpretation of the judgment is that the regular expression  $r$  matches some prefix of the string  $s$ , leaving the suffix  $s'$  unmatched. If  $s' = \cdot$ , then  $r$  matched  $s$  exactly. For example,

$$\vdash 'h'('e'+) \text{ matches "hello" leaving "llo"}$$

Note that this operational semantics may be considered *non-deterministic* because we expect to be able to derive all three of the following:

$$\begin{aligned} \vdash ('h' | 'e')^* \text{ matches "hello" leaving "ello"} \\ \vdash ('h' | 'e')^* \text{ matches "hello" leaving "hello"} \\ \vdash ('h' | 'e')^* \text{ matches "hello" leaving "llo"} \end{aligned}$$

We leave the rules of inference defining this judgment unspecified. You may consider giving this set of inference rules an optional exercise.

Instead, we will use *denotational semantics* to model the fact that a regular expression can match a string leaving many possible suffixes. Let  $\text{Str}$  be the set of all strings, let  $\wp(\text{Str})$  be the powerset of  $\text{Str}$ , and let RE range over regular expressions. We introduce a semantic function:

$$\llbracket \cdot \rrbracket : \text{RE} \rightarrow (\emptyset \rightarrow \wp(\mathcal{S}))$$

The interpretation is that  $\llbracket r \rrbracket$  is a function that takes in a string-to-be-matched and returns a set of suffixes. We might intuitively define  $\llbracket \cdot \rrbracket$  as follows:

$$\llbracket r \rrbracket(s) = \{s' \mid \vdash r \text{ matches } s \text{ leaving } s'\}$$

In general, however, one should not define the denotational semantics in terms of the operational semantics. Here are two correct semantic functions:

$$\begin{aligned} \llbracket 'c' \rrbracket(s) &= \{s' \mid s = 'c' :: s'\} \\ \llbracket \text{empty} \rrbracket(s) &= \{s\} \end{aligned}$$

2.1. Give the denotational semantics functions for the other three primal regular expressions. Your semantics functions *may not* reference the operational semantics.

2.2. We want to update our operational semantics for regular expressions to capture multiple suffixes. We want our new operational semantics to be deterministic—it should give the same answer as the denotational semantics above. We introduce a new judgment as follows:

$$\vdash r \text{ matches } s \text{ leaving } S$$

And use rules of inference like the following:

$$\begin{array}{c} \frac{}{\vdash 'c' \text{ matches } s \text{ leaving } \{s' \mid s = 'c' :: s'\}} \qquad \frac{}{\vdash \text{empty matches } s \text{ leaving } \{s\}} \\ \\ \frac{\vdash r_1 \text{ matches } s \text{ leaving } S_1 \quad \vdash r_2 \text{ matches } s \text{ leaving } S_2}{\vdash r_1 | r_2 \text{ matches } s \text{ leaving } S_1 \cup S_2} \end{array}$$

Do one of the following:

- *Either* give operational semantics rules of inference for  $r^*$  and  $r_1 r_2$ . Your operational semantics rules may *not* reference the denotational semantics. You may *not* place a derivation inside a set constructor, as in:  $\{x \mid \exists y. \vdash r \text{ matches } x \text{ leaving } y\}$ . Each inference rule must have a finite and fixed set of hypotheses.
- *Or* argue in one or two sentences that it cannot be done correctly in the given framework. Back up your argument by presenting two attempted but “wrong” rules of inference and show that each one is either unsound or incomplete with respect to our intuitive notion of regular expression matching.

Part of doing research in any area is getting stuck. When you get stuck, you must be able to recognize whether “you are just missing something” or “the problem is actually impossible.”

### 3 Implementation: General Recursion and Polymorphism

In this section, we will reformulate language **ETPS** so that it admits general recursion (and thus non-terminating programs) and parametric polymorphism.

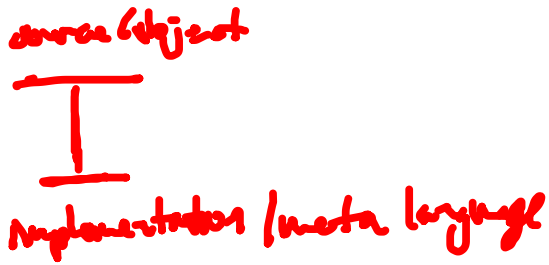
- 3.1. Adapt your language **ETPS** with general recursion. That is, replace the language **T** portion (primitive recursion with natural numbers) with language **PCF** from Chapter 19 of *PFPL* (general recursion with natural numbers).
- 3.2. Add recursive types (i.e., language **FPC** from Chapter 20 of *PFPL*). While type `nat` of natural numbers is definable in **FPC**, leave the primitive `nat` in for convenience in testing.
- 3.3. Add parametric polymorphism (i.e., System **F** from Chapter 16 of *PFPL*).

Explain your testing strategy and justify that your test cases attempt to cover your code as thoroughly as possible (e.g., they attempt to cover different execution paths of your implementation with each test). Write this explanation as comments alongside your test code.

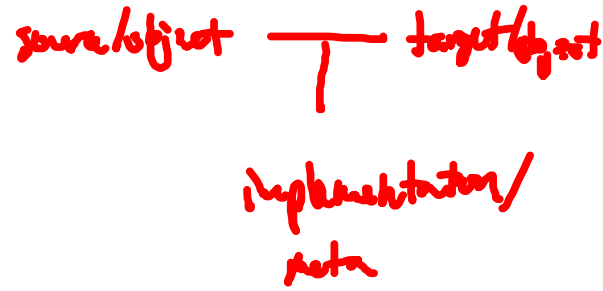
Follow the “Translating a Language to OCaml” guidance from the previous homework assignment.

~~exit~~

# Interpreter



# Compiler



Store  $\rightarrow$  Store

Store  $\rightarrow$  Store  $\cup \{ \perp \}$

$\mathbb{I} \cdot \mathbb{J} : \text{Exp} \rightarrow \text{Val} \perp$

# Operational

store  $\rightarrow$  store  
(with missing exn)

Store  $\rightarrow$  store option

$\perp$  = "bottom"  
| bot?

Deterministic

# Denotational Semantics

↑  
"meaning"  
↑  
"meaning"

assign meaning to programs as mathematical objects  
Semantics  
denotation

"computer viewpoint"

$$\llbracket \cdot \rrbracket : \underline{\text{cmd}} \rightarrow (\text{Store} \rightarrow \text{Store})$$

↑  
define function by induction  
on the syntax (structure  
of the cmd)

$$\llbracket \text{skip} \rrbracket \stackrel{\text{def}}{=} \lambda \sigma. \sigma$$

↑  
meta-level

$$\llbracket c_1; c_2 \rrbracket \stackrel{\text{def}}{=} \lambda \sigma. \llbracket c_2 \rrbracket (\llbracket c_1 \rrbracket \sigma)$$

↑  
object

$$(\llbracket c_2 \rrbracket \circ \llbracket c_1 \rrbracket)$$



$$\boxed{\langle c, \sigma \rangle \Downarrow \sigma'}$$

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$$\langle \sigma \text{kip}, \sigma \rangle \Downarrow \sigma$$

$$\langle c_1, \sigma \rangle \Downarrow \sigma' \quad \langle c_2, \sigma' \rangle \Downarrow \sigma''$$

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$$\langle c_1; c_2, \sigma \rangle \Downarrow \sigma''$$













